

Electronic Acknowledgement Receipt

EFS ID:	4463750
Application Number:	10086769
International Application Number:	
Confirmation Number:	8954
Title of Invention:	INVESTMENT PORTFOLIO COMPLIANCE SYSTEM
First Named Inventor/Applicant Name:	Joseph M. Kochansky
Customer Number:	21874
Filer:	Jacob P. Martinez
Filer Authorized By:	
Attorney Docket Number:	58985(49357)
Receipt Date:	16-DEC-2008
Filing Date:	01-MAR-2002
Time Stamp:	14:03:48
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	58985_49357__Transmittal_Form.pdf	67181 0ec54a84fcc2ff63a69cbe6c38023cf605130ff6	no	1

Warnings:

Information:

2	Power of Attorney	Signed_POA_BlackRockInc.pdf	196842 069cedc2c844b52dac1f423e6ed097862bf9 9ad7	no	1
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Warnings:

Information:

3	Assignee showing of ownership per 37 CFR 3.73(b).	58985_49357__Statement_and_37CFR3_73b.pdf	49438 5e2412bbf2b73e437d017f700b722ce2dc2 9749c	no	1
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Warnings:

Information:

Total Files Size (in bytes):	313461
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.